

## Chemical Exfoliating 2D Molybdenum Oxide Nanoflakes for Cancer Cells Photothermal Ablation

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### Abstract

Plasmonic materials have drawn emerging interest in modern science and technology, especially in optical systems and photo-mediated biomedical fields. Herein, a novel type of 2D nanomaterial, Molybdenum oxide nanoflakes (MoO<sub>3-x</sub> NFs) were synthesized through hydrothermal and mechanical exfoliating method. The obtained oxygen vacancy-rich MoO<sub>3-x</sub> nanoflakes display intense absorption in a wide range attributed to the localized surface plasmon resonances, which can be tuned from the visible to the near-infrared region. Upon a 808 nm laser irradiation, MoO<sub>3-x</sub> NFs can possess intrinsic NIR-induced hyperthermia and are able to kill cancer cells. The enhanced NIR absorption, low cytotoxicity of MoO<sub>3-x</sub> NFs make them potential photothermal agent. This study will benefit future design and optimization of photothermal agents for biomedical application.

### Keywords

Plasmonic, photo-mediated, hyperthermia, photothermal agent.

### 1. Introduction

Plasmonic materials exhibit certain physical and chemical properties have shown great promise for exploitation as a new generation of functional materials<sup>[1-3]</sup>. Extraordinary, the optical properties seen in those plasmonic materials not only can be utilized to create new optical systems, also provide opportunities to cancer imaging and therapy<sup>[4]</sup>. The development of future phototherapy nanoagents just benefited from obtaining plasmon resonances in the near infrared, as these wavelengths are commonly used in biomedical fields<sup>[5, 6]</sup>. As the most common plasmonic materials, noble metals (such as Au and Ag) usually possess high carrier density and display apparent LSPR over a wide range from the ultraviolet (UV) region to NIR<sup>[7-10]</sup>. However, despite the easy manipulation of LSPR, noble metals inevitably suffer from earth rarity and their plasmonic frequencies are highly dependent on their sizes and shapes, as well as the surrounding mediums<sup>[11]</sup>, which prevent them from being used in large scale practical applications.

Recently, it has been revealed that LSPR can also occur in some heavily doped semiconductor nanocrystals with an appreciable free carrier concentration. With respect to noble metals, the plasmonic resonance of semiconductors could be tailored by changing the stoichiometric compositions, dopant concentrations, or phase transitions. For example, copper chalcogenides<sup>[12, 13]</sup> and tungsten oxide<sup>[14]</sup> can obtain plasmon resonances in the visible and NIR regions, the creation of stable 2D semiconducting oxides of molybdenum is also revealed in Kalantar-zadeh's recent topical feature article<sup>[15]</sup>. Simply by irradiating the suspension of 2D flakes with solar energy in the presence of water, we induced oxygen deficiencies in the 2D flakes, which were completely stable both before and after the process. However, solar-light-driven process is one aspect that by controlling the oxygen vacancies in molybdenum flakes, active tuning of the plasmonic response in 2D molybdenum oxide<sup>[16]</sup>. In order to explore these unique plasmonic features in molybdenum oxides, in another aspect, accordingly, we explore tunable plasmonics in substoichiometric 2D molybdenum oxide nanoflakes

in the NIR range by mechanical exfoliating method. We show that these intercalating ions eventually take oxygen atoms from the exfoliating flakes, forming sub-stoichiometric molybdenum trioxide ( $\text{MoO}_{3-x}$ ), which also displays a strong Prussian blue color and has ordered phases with a highly controlled stoichiometry.

The suspensions of 2D  $\text{MoO}_{3-x}$  nanoflakes are produced by using a facile two-step process (see the Experimental Section for details). In brief, the 2D  $\text{MoO}_3$  flakes are first produced by hydrothermal of metal molybdenum powders with hydrogen peroxide in ethanol, then suffer from a liquid phase exfoliation method with a combination of mechanical grinding and sonication. Several unique properties of molybdenum oxide provide the key features to obtain a tunable 2D plasmon resonance in the NIR region. Therefore, we demonstrate the in vitro biomedical application of  $\text{MoO}_{3-x}$  NFs as photothermal reagents for the killing of Hela cells using 808 nm Laser. According to the results under the condition of hyperthermia when exposure to 808 nm realizing cancer ablation.

## 2. Experimental section

### 2.1 Materials and apparatus.

Molybdenum metal powder, hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 30 wt %), ethanol, were purchased from Sigma-Aldrich Co. All chemical reagents were used without any further purification.

The morphology and size of the samples were observed on a field-emission scanning electron microscope (FE-SEM) (S-4800, Hitachi, Japan) and transmission electron microscopes (TEM, Tecnai G2 20 S-TWIN). The element analysis of the samples was performed by an energy-dispersive X-ray spectrum (EDX, HORIBA EMAX-250) attached on the FE-SEM. X-ray diffraction (XRD) analysis was performed using Japan Rigaku D/max-2500 diffractometer with  $\text{Cu K}\alpha$  radiation ( $\lambda=1.5418\text{\AA}$ ). X-ray photoelectron spectroscopy (XPS) was performed on a Kratos AXIS-165 surface analysis system. The UV-vis-NIR data were obtained by U-3900 spectrophotometer (Hitachi). The infrared thermal imaging was acquired at different irradiation time and recorded using a FLIR thermal camera (FLIR ThermoCAM E40).

### 2.2 Synthesis of $\text{MoO}_3$ Nanoflakes and then transfer to $\text{MoO}_{3-x}$ Nanoflakes.

$\text{MoO}_3$  Nanoflakes were prepared by oxidizing metal molybdenum powders with hydrogen peroxide ( $\text{H}_2\text{O}_2$ , 30%) followed by solvothermal treatment in ethanol solution at 160 °C for 12 h. In a typical synthetic procedure, 10 mmol of molybdenum metal powder was added to a round bottom flask (100 mL) containing 60 mL of ethanol. Then 15 mL of  $\text{H}_2\text{O}_2$  was introduced and magnetically stirred for about 2 h to obtain the transparent yellow solution. This yellow precursor liquid were took out the 30 mL to a Teflon vessel and then sealed in stainless steel autoclave, heated and maintained at 160 °C for 12 h. After cooling down to room temperature naturally, the product was collected by centrifugation, rinsed with ethanol for three times and finally obtained through freeze-drying at vacuum.

30 mg of the obtained  $\text{MoO}_3$  Nanoflakes powder was grounded with 0.6 mL acetonitrile for 30 min. The powder was then dispersed in a 50 vol% ethanol/water mixture (30 mL) probe sonicated (Ultrasonic Processor GEX500) for 12 h at the power of 125 W. Samples of  $\text{MoO}_{3-x}$  Nanoflakes aqueous solution were taken from at different point of time (0, 2, 6, 9, 12 h, respectively), and then centrifuged at 12000 rpm for 10 min at room temperature. The blue supernatant containing a high concentration of 2D molybdenum oxide flakes was collected and cast onto five small containers with 3 mL of the suspension in each of the five samples and were labeled as MNF-X (X=1, 2, 3, 4, 5).

### 2.3 NIR Photothermal Effect.

$\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5) water solutions with different concentrations were introduced into a quartz cuvette and irradiated by 808 nm NIR laser at a power density of 1.0  $\text{W}/\text{cm}^2$  for 10 min. To further measure photothermal conversion efficiency, 0.1 mg/mL of MNF-5 aqueous solution was introduced into a quartz cuvette and irradiated with 808-nm laser and then cooled to room temperature.

#### 2.4 In vitro Cell Viability Assay of MNF-5.

Hela cells (a human cervical carcinoma cell line) were cultured with Dulbecco's Modified Eagle Medium (DMEM), respectively, which were supplemented with 10 % fetal bovine serum (FBS), at 37 °C in a humidified atmosphere with 5 % CO<sub>2</sub>. The cell viabilities were evaluated by a standard Cell Counting Kit-8 (CCK-8) colorimetric assay. The three cells were seeded into 96-well culture plates (about 1×10<sup>4</sup> cells per well). After adding MNF-5 with different concentrations (3.125, 6.25, 12.5, 25, 50, 100, and 200 µg/mL), these cells were subsequently incubated with Hela cell for 24 h at 37 °C under 5% CO<sub>2</sub>. Then, 10 % of CCK-8 medium solutions were added to each well and incubated for another 2 h. Finally, the absorbance was measured at 490 nm using SpectraMax M2 (MDC, USA). Six replicates were done for each treatment group.

#### 2.5 In vitro Light-induced cytotoxicity of MNF-5 toward Cancer Cells.

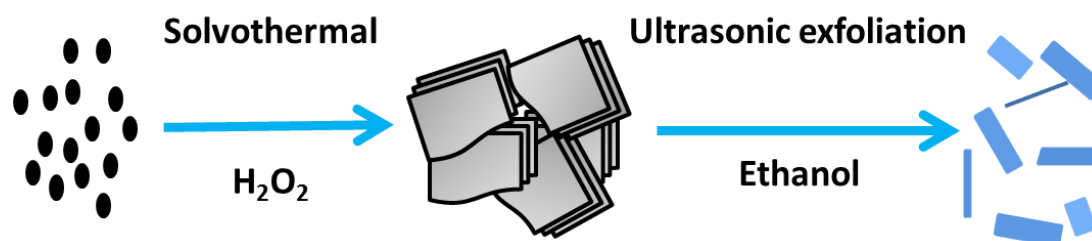
Hela cells were cultured in a 96-well culture plate as a density of 1×10<sup>4</sup> per well for 12 h to allow the cell attachment. Solutions containing MNF-5 with different concentrations were added to the culture medium. After 4 h of co-incubation, Hela cells were irradiated by 808 nm lasers (1.0 W/ cm<sup>2</sup>, 10 min) 37 °C and 4 °C. Then, these cells were incubated for another 24 h and the cell viability was evaluated via a standard Cell Counting Kit-8 colorimetric assay.

#### 2.6 Cellular Killing Ability.

Hela cells were chosen for investigating cell killing ability *in vitro*. The Hela cells were seeded in culture dishes (about 1×10<sup>4</sup> cells per well) overnight at 37 °C in a 5% CO<sub>2</sub>. Then, the cells were incubated with MoO<sub>3-x</sub> NFs (100 µg/ mL). After 24 h, the cells were washed by PBS. The cells incubated with MoO<sub>3-x</sub> NFs were irradiated by 808 nm laser and 1064 nm laser at a power density of 1.0 W/cm<sup>2</sup> for 10 min, respectively. After 2 h, the cells were slightly washed by PBS and stained with calcein AM (CA) and propidium iodide (PI) for 15 min. The images were visualized by an inverted fluorescence microscope (OLYMPUS X-73, JAPAN).

### 3. Results and Discussion

In this synthetic process, the growth process of the MoO<sub>3-x</sub> Nanoflakes was based on intrinsic growth. The solution-soluble precursor MoO<sub>2</sub> (OH)(OOH) under solvothermal treatments at elevated temperature (160 °C) give birth to tiny MoO<sub>3</sub> nuclei by dehydration<sup>[17]</sup>. Due to the layered crystal structure of orthorhombic MoO<sub>3</sub>, which is comprised of MoO<sub>6</sub> octahedra by sharing edges and corners, the intrinsic anisotropic crystal growth led to the preferential formation of 2D MoO<sub>3</sub> nanosheets. In addition, the stronger reducibility of ethanol enabled MoO<sub>3</sub> to be reduced for the formation of MoO<sub>3-x</sub>. Therefore, upon solvothermal treatments for 12 h, well-defined 2D MoO<sub>3-x</sub> nanosheets were obtained, followed by mechanical exfoliating that these intercalating ions eventually take oxygen atoms from the exfoliating flakes, forming sub-stoichiometric molybdenum trioxide (MoO<sub>3-x</sub>), which also displays a strong Prussian blue color and has ordered phases with a highly controlled stoichiometry (Schematic 1).



Sch. 1 Synthetic process of 2D Molybdenum Oxide Nanoflakes

Figure 1a presents the typical scanning electron microscopy (SEM) image of the as-prepared MoO<sub>3-x</sub> nanoflakes products, from which numerous two-dimensional (2D) nanoflakes were observed. The diameter of the MoO<sub>3-x</sub> nanoflakes ranges from 200 nm to 1µm, and the thickness is about 20-30 nm. Transmission electron microscopy image (TEM; Figure 1b) further confirms the products in the shape of nanoflakes. The formation of 2D MoO<sub>3-x</sub> nanoflakes was considered to be associated with

the intrinsic growth of  $\text{MoO}_3$  nuclei owing to the layered crystal structure, along with its reduction by ethanol at elevated solvothermal temperatures and exfoliating

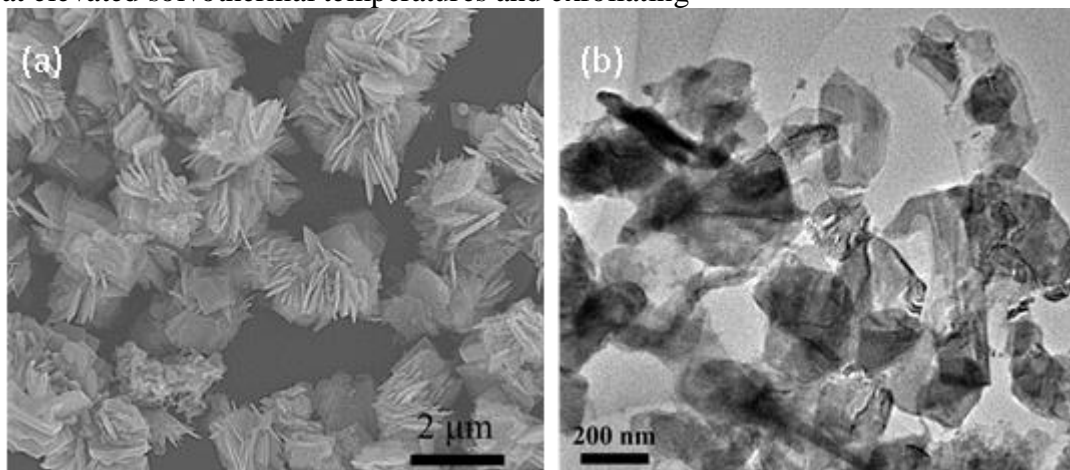


Fig.1 SEM and TEM image of the obtained  $\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5) SEM image; (b) TEM image

From the X-ray diffraction pattern (XRD; Fig. 2), the product is assigned to the orthorhombic phase (JCPDS No. 5-0508), which is crystallized in a layered structure composed of  $\text{MoO}_6$  octahedra by sharing edges and corners (inset).

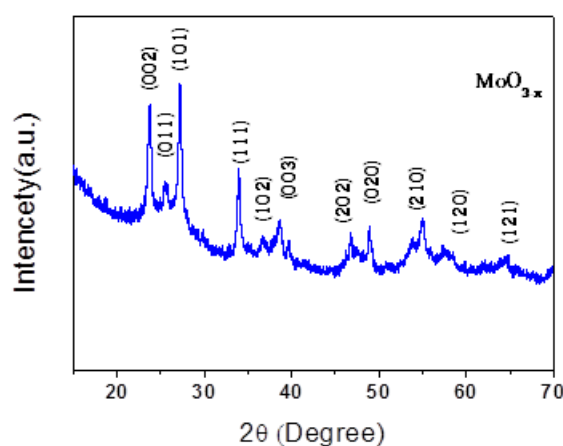


Fig.2 XRD patterns of the obtained  $\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5)

The EDX spectrum analysis manifested that the main elements of Mo and O can be detected and the well-defined distribution of molybdenum, oxygen, and carbon in 2D  $\text{MoO}_{3-x}$  nanoflakes (Fig. 3).

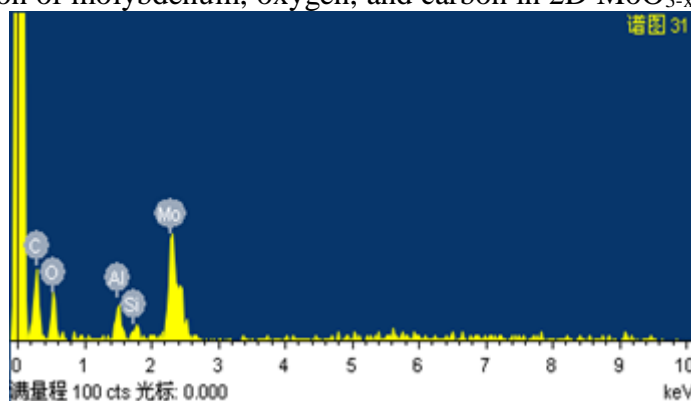


Fig.3 EDS patterns of the obtained  $\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5)

To elucidate the oxidation state of Mo in the plasmonic  $\text{MoO}_{3-x}$  nanostructures, X-ray photoelectron spectroscopy (XPS) measurements were carried out. Figure 4 displays the Mo 3d XPS core spectra of the as-prepared  $\text{MoO}_{3-x}$ . As can be seen, both  $\text{Mo}^{6+}$  and  $\text{Mo}^{5+}$  are present in the  $\text{MoO}_{3-x}$  nanoflakes.

For commercial  $\text{MoO}_3$  sample, two peaks (232.6 and 235.7 eV) are attributed to the  $3d^{5/2}$  and  $3d^{3/2}$  of  $\text{Mo}^{6+}$ , respectively<sup>[18]</sup>. In contrast, the peaks of  $\text{Mo } 3d^{5/2}$  and  $3d^{3/2}$  shift to lower binding energies, and both of them can be divided into two separate peaks. The peaks at 232.6 and 235.7 eV correspond to  $\text{Mo}^{6+}$ , and those centered at 231.7 and 234.9 eV are assigned to  $\text{Mo}^{5+}$ <sup>[19]</sup>. According to the XPS peak area of  $\text{Mo } 3d$ , the  $\text{Mo}^{6+}$  and  $\text{Mo}^{5+}$  cations account for 22.7 % and 77.3 % of the total  $\text{Mo}$  states, respectively, in the  $\text{MoO}_{3-x}$  nanoflakes. The average oxidation state of  $\text{Mo}$  is thus determined to be 5.23, which is manifestly the mixed-valence state arising from the oxygen vacancies.

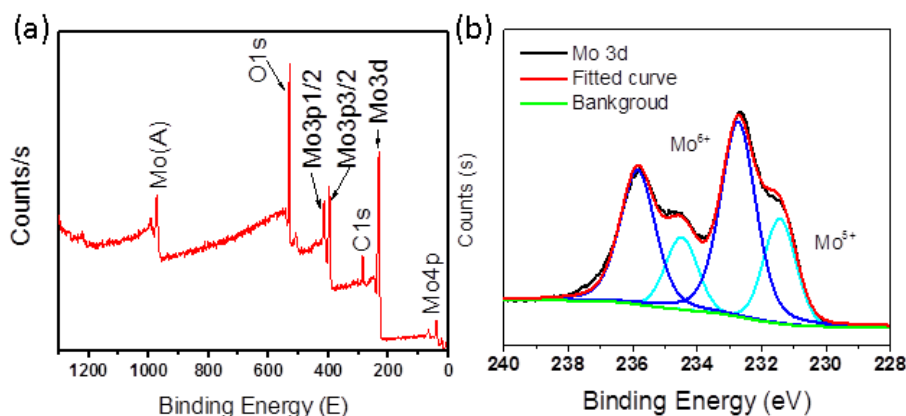


Fig.4 XPS spectra of the  $\text{MoO}_{3-x}$  Nanoflakes

(a) XPS spectra of the  $\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5); (b) A survey XPS spectrum, and  $\text{Mo}3d$  core level spectrum

Figure 5 shows the UV/Vis-NIR spectra of the MNF-1~5. As can be seen, a strong absorption peak located at NIR associated with LSPR is clearly observed in the spectra of  $\text{MoO}_{3-x}$  nanoflakes. In addition, light-blue quasi-uniform molybdenum oxide nanoflakes with smaller sizes show remarkable improvement or deviation. As seen from the UV/Vis-NIR diffuse reflectance spectra, the corresponding LSPR peaks have obvious shifted, along with decreased absorption peak intensity, enables  $\text{MoO}_3$  to generate more oxygen vacancies, thereby leading to deficient  $\text{MoO}_{3-x}$  with sufficient free carriers to support LSPR<sup>[20]</sup>.

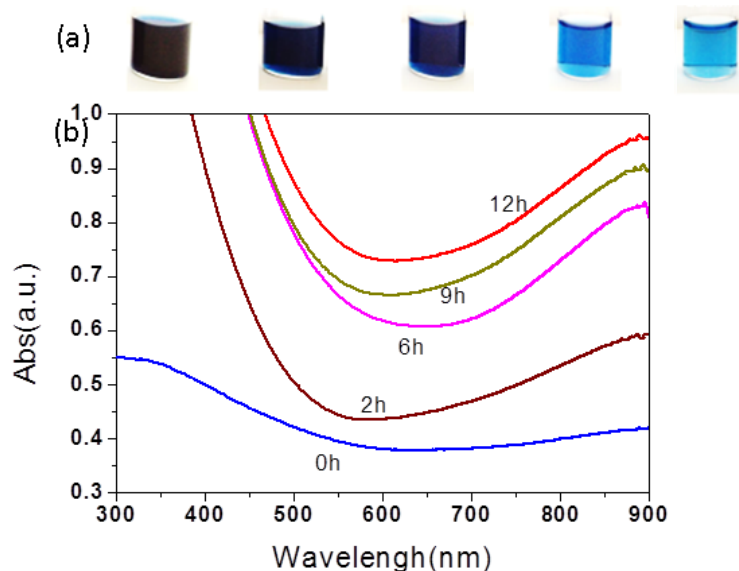


Fig.5 UV-Vis-IR absorption spectrum and the photographs of MNF1-5

(a) photograph of the products of different exfoliation time points; (b) UV-Vis-IR absorption spectrum of MNF1-5

Inorganic nanomaterials with many intriguing physical and chemical properties have shown great promise for cancer imaging and therapy<sup>[21]</sup>. Molybdenum oxide is no exception and the enhanced NIR absorption of  $\text{MoO}_{3-x}$  nanoflakes makes them promising candidates for photothermal agents. For



the determination of heating efficiency thermometry, we were able to measure the on-focus temperature increment ( $\Delta T$ ) (due to the heat delivered by the  $\text{MoO}_{3-x}$  NFs) as a function of the continuous laser power for 808 nm laser wavelengths used in this work.  $\text{MoO}_{3-x}$  NFs aqueous solution with different concentrations at 0.05, 0.1, 0.2, 0.4 and 0.5 mg/mL were introduced into a quartz cuvette and irradiated at a power density of  $1.0 \text{ W/cm}^2$  for 10 min. As a blank control, temperature of deionized water increased to  $27^\circ\text{C}$  ( $1.0 \text{ W cm}^2$ , 10 min), While all temperatures of  $\text{MoO}_{3-x}$  NFs aqueous solution increased fast and showed a concentration-dependent temperature increase, indicating these nanoparticles could efficiently convert laser energy into heat (Figure 6a).

To further measure photothermal conversion efficiency, 0.2 mg/mL of NFs aqueous solution was introduced into a quartz cuvette and irradiated with 808 nm laser, then cooled to room temperature. The conversion efficiency ( $\eta$ ) is an important character for photothermal materials. To measure the photothermal conversion efficiency ( $\eta$ ), the  $\text{MoO}_{3-x}$  NFs aqueous dispersion (0.2 mg/mL) were exposed to 808 nm CW laser ( $1\text{W/cm}^2$ ) for 10 min, and then the laser was shut off. The heating and cooling temperature trends of samples were recorded by FLIR thermal camera (Fig. 6b). And, the photothermal conversion efficiency was calculated according to the eq. 1: [22]

$$\eta = \frac{hS(T_{\max} - T_{\text{surr}}) - Q_0}{I(1 - 10^{-A_{808}})} \quad (1)$$

Where  $h$  is the heat transfer coefficient,  $S$  is the surface area of the container,  $T_{\max}$  is the steady state maximum temperature,  $T_{\text{surr}}$  is the ambient temperature of the surroundings,  $Q_0$  is the baseline energy input by the solvent and the sample container without  $\text{MoO}_{3-x}$  NFs,  $I$  is the laser power, and  $A_{808}$  is the absorbance of  $\text{MoO}_{3-x}$  NFs solution at 808 nm. The value of  $hS$  is calculated by eq. 2:

$$\tau_s = \frac{m_d C_d}{hS} \quad (2)$$

Where  $\tau_s$  is the characteristic thermal time constant, the mass of the  $m_d$  and  $C_d$  are the mass and heat capacity of water, respectively. The heat energy ( $Q_0$ ) of the sample container and solvent without  $\text{MoO}_{3-x}$  NFs were measured independently using the eq. 3:

$$Q_0 = hS(T_{\max} - T_{\text{surr}}) \quad (3)$$

Finally, the 808 nm laser induced photothermal conversion efficiency ( $\eta$ ) of the  $\text{MoO}_{3-x}$  NFs was calculated as  $\sim 37.53\%$ .

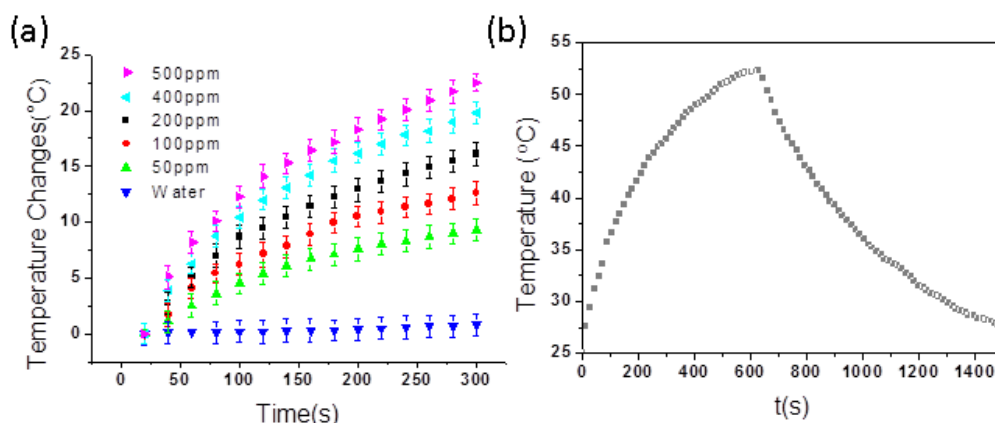


Fig.6 Photothermal effect of the of the  $\text{MoO}_{3-x}$  Nanoflakes Sample-5 (MNF-5)

(a) Photothermal heating curves of pure water and  $\text{MoO}_{3-x}$  Nanoflakes in water with different concentrations under 808 nm laser irradiation at the power density of  $1.0 \text{ W/cm}^2$ ; (d) Plot of cooling time versus negative natural logarithm of the temperature driving force which is obtained from the cooling stage

For safe bio-application of MoO<sub>3-x</sub> Nanoflakes, Prior to using MoO<sub>3-x</sub> Nanoflakes for in vivo usage, its biocompatibility and in vitro toxicity with living cells was investigated at first. Standard CCK-8 assay with the nanoparticles were performed on Hela cells. As illustrated in Figure 7, viability of the three cells was not hindered by these MoO<sub>3-x</sub> Nanoflakes even up to a high concentration of 200  $\mu\text{g mL}^{-1}$ . However, it leads to a drastic drop in the percentage of cell viabilities upon exposure to 808 nm light (1.0 W  $\text{cm}^{-2}$  for 10 min). This result indicates these samples are active in photo-induced cancer cell killing. Interestingly, the MoO<sub>3-x</sub> Nanoflakes exhibits enhanced HeLa cell lethality in a dose-dependent manner compared with MoO<sub>3-x</sub> Nanoflakes under the identical condition.

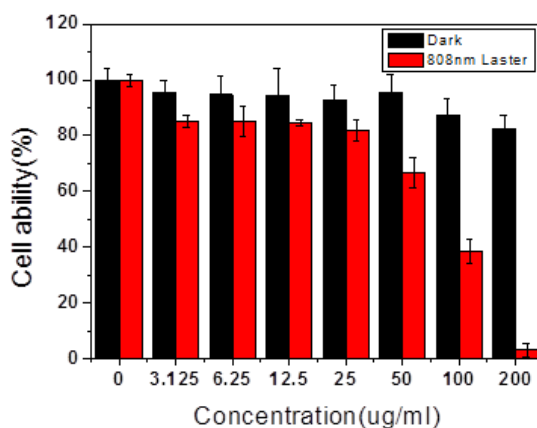


Fig.7 Cell viability of Hela cells treatment with MoO<sub>3-x</sub> nanoflakes with and with irradiation with 808 nm laser

To better elucidate the toxic effect of every individual element, when under 808 nm laser light irradiation, cell viabilities of HeLa cells with MoO<sub>3-x</sub> Nanoflakes, a systematical study was carried out afterward. Neither NIR irradiation only group nor the specific concentration of single element groups could give rise to higher amount of cell death. Fluorescence images of Calcein AM/PI stained cells intuitively confirmed the effective killing of Hela cells (Fig. 8). It was noteworthy that more red dead cells without any cells were detected in the group of MoO<sub>3-x</sub> Nanoflakes, indicating that MoO<sub>3-x</sub> Nanoflakes under the 808 nm irradiation could cause more death of Hela cells.

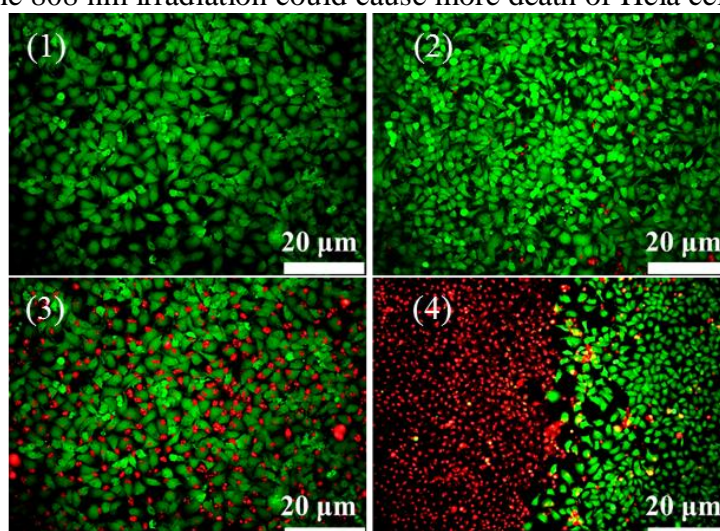


Fig.8 Fluorescence images of HeLa cells after co-staining using CA and PI  
 (1) Cells; (2) Cells+808 nm laser, (3) MoO<sub>3-x</sub> nanoflakes (100  $\mu\text{g/mL}$ ); (4) MoO<sub>3-x</sub> nanoflakes (100  $\mu\text{g/mL}$ ) +808 nm laser (Power density: 1.0 W/ $\text{cm}^2$ )

## 4. Conclusion

In summary, well-defined 2D MoO<sub>3-x</sub> nanoflakes were obtained by mechanical exfoliating method. The prepared MoO<sub>3-x</sub> nanoflakes display strong LSPR in the NIR region, which increased along with the degree of exfoliation. In addition, the structural and optical stability of the MoO<sub>3-x</sub> sample was further confirmed by XRD patterns and UV/Vis–NIR diffuse reflectance spectra before and after the exfoliation, which reveals the potential application of the plasmonic semiconductor nanostructures as efficient photothermal agent. This work illustrates a rational route to plasmonic MoO<sub>3-x</sub> nanoflakes, which may offer a generalized method for generating other semiconductor nanostructures with LSPR, such as deficient vanadium and tungsten oxides. The further applications in the fields of destruction of solid tumors, in vivo bioimaging, and enzyme electron transfer reaction and so on are awaited investigation.

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